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DATE MAILED: 02/10/2005

| APPLICATION NO.                               | FILING DATE    | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|----------------|----------------------|---------------------|------------------|
| 10/726,398                                    | 12/02/2003     | John B. McVey        | EH-10785(02-597)    | 5632             |
| 34704 7.                                      | 590 02/10/2005 |                      | EXAM                | INER             |
| BACHMAN & LAPOINTE, P.C.<br>900 CHAPEL STREET |                |                      | PHILOGENE, HAISSA   |                  |
| SUITE 1201                                    |                |                      | ART UNIT            | PAPER NUMBER     |
| NEW HAVEN                                     | , CT 06510     |                      | 2828                |                  |

Please find below and/or attached an Office communication concerning this application or proceeding.

|  | Application No.   | Applicant(s)   |  |  |  |  |
|--|---|--|--|--|--|--|
| Office Action Commons  | 10/726,398  | MCVEY ET AL.   |  |  |  |  |
| Office Action Summary  | Examiner  | Art Unit   |  |  |  |  |
|  | Haissa Philogene  | 2828   |  |  |  |  |
| The MAILING DATE of this communication Period for Reply  | appears on the cover sheet wit  | th the correspondence address  |  |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR RE THE MAILING DATE OF THIS COMMUNICATIO  - Extensions of time may be available under the provisions of 37 CFR after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a  - If NO period for reply is specified above, the maximum statutory per  - Failure to reply within the set or extended period for reply will, by state of the second part of the maximum state of the second part of the maximum state of the maximum state of the second part of the secon | N. R 1.136(a). In no event, however, may a re reply within the statutory minimum of thirty riod will apply and will expire SIX (6) MON atute, cause the application to become ABA | eply be timely filed  ( (30) days will be considered timely.  THS from the mailing date of this communication.  ANDONED (35 U.S.C. § 133). |  |  |  |  |
| Status   |   |  |  |  |  |  |
| 1) Responsive to communication(s) filed on 02  | 2 December 2003   | ·  |  |  |  |  |
| · · · · · · · · · · · · · · · · · · ·  |   |  |  |  |  |  |
| ,  | <b>7</b>  |  |  |  |  |  |
|  | closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.   |  |  |  |  |  |
| Disposition of Claims  |   | •  |  |  |  |  |
| 4) Claim(s) 1-19 is/are pending in the applicat  | Claim(s) <u>1-19</u> is/are pending in the application.   |  |  |  |  |  |
| 4a) Of the above claim(s) is/are without   | 4a) Of the above claim(s) is/are withdrawn from consideration.  |  |  |  |  |  |
| 5)⊠ Claim(s) <u>15-19</u> is/are allowed.  | · · · · · · · · · · · · · · · · · · ·   |  |  |  |  |  |
| 6) Claim(s) 1-3,8,9,11,13 and 14 is/are rejected   |   |  |  |  |  |  |
| 7) Claim(s) 4-7,10 and 12 is/are objected to.  | •   |  |  |  |  |  |
| 8) Claim(s) are subject to restriction an  |   |  |  |  |  |  |
| Application Papers   |   |  |  |  |  |  |
| 9) The specification is objected to by the Exam  | niner.  |  |  |  |  |  |
| 10)⊠ The drawing(s) filed on <u>02 December</u> 2003 i   | )⊠ The drawing(s) filed on <u>02 December 2003</u> is/are: a)⊠ accepted or b)□ objected to by the Examiner.   |  |  |  |  |  |
| Applicant may not request that any objection to  |   |  |  |  |  |  |
| Replacement drawing sheet(s) including the con   | rection is required if the drawing(   | s) is objected to. See 37 CFR 1.121(d).  |  |  |  |  |
| 11) The oath or declaration is objected to by the  | Examiner. Note the attached   | Office Action or form PTO-152.   |  |  |  |  |
| Priority under 35 U.S.C. § 119   |   |  |  |  |  |  |
| 12) Acknowledgment is made of a claim for fore  a) All b) Some * c) None of:  1. Certified copies of the priority docum.  2. Certified copies of the priority docum.  3. Copies of the certified copies of the priority docum.  * See the attached detailed Office action for a  | ents have been received. ents have been received in Appriority documents have been reau (PCT Rule 17.2(a)).   | pplication No received in this National Stage  |  |  |  |  |
| Attachment(s)  | <b></b>   |  |  |  |  |  |
| 1) ☑ Notice of References Cited (PTO-892)<br>2) ☑ Notice of Draftsperson's Patent Drawing Review (PTO-948)   |   | ummary (PTO-413)<br>)/Mail Date  |  |  |  |  |
| <ul> <li>3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/Paper No(s)/Mail Date 12//02/03.</li> </ul>  |   | formal Patent Application (PTO-152)  |  |  |  |  |

#### **DETAILED ACTION**

### Claim Objections

Claim 9 is objected to because of the following informalities: In lines 1 and 2, change "gas distribution channel" to --gas distribution anode--. Appropriate correction is required.

### Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claim 13 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

The term "exhaust streams" is not clear. Does Applicant mean to say "exhaust plumes" or "exhaust beams" as mentioned in the specification or something else? Applicant is requested to clarify this matter.

#### Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1, 2, 8 and 14 are rejected under 35 U.S.C. 102(b) as being anticipated by Valentian et al., Patent No. 6,279,314.

As per claim 1, Valentian discloses a Hall effect thruster (see Col.5, line 29 and Col.9, line 1) comprising at least two acceleration channels (124A, 124B); and a plurality of coils (131), mounted on cores (137) and interconnected by an array of ferromagnetic bars (136), readable as a plurality of flux guides co-operating with said two adjacent channels 124A, 124B (see Figs. 3 and 6 and also Col.7, lines 6-7 and 21-23) since the coils can simultaneously produce accelerating flux and guide field upon energization.

As per claim 2, Valentian discloses each of said acceleration channels (124A, 124B) having an annular configuration (see Figs. 3 and 6).

As per claim 8, Valentian discloses each of said acceleration channels (124A, 124B) having a gas distribution anode (125A, 125B) for introducing a propellant (ionizable gas) via at least pipe 126A, isolator 300A, hose 118A, manifold 127A (see Fig.14).

As per claim 14, Valentian discloses each said channel (124A, 124B) having non-parallel surfaces (see Figs. 1 and 3 and see also Col.2, lines 60-61, Col.5, lines 39-42, Col.6, lines 56-57).

## Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claim 13 is rejected under 35 U.S.C. 103(a) as being unpatentable over Valentian et al in view of Kerst, Patent No. 4,577,156.

Valentian discloses the claimed invention substantially as explained above except for adjacent ones of said acceleration channels generating counter-rotating exhaust streams. Kerst discloses an accelerator or thruster (10) having adjacent ones of said acceleration tubes or channels (12, 14 and Col.6, lines 41-45) generating counter-rotating beams 16, 18 (see Figs.1, 4, 6 and 7 and Col.7, lines 59-66 and Col.9, lines 9-11). It would have been obvious to a person having ordinary skill in the art at the time the invention was made to employ the adjacent channels with counter-rotating beams as taught by Kerst into the Valentian type thruster, because it would allow each beam to be accelerated by substantially the full change in the alternating accelerating flux between its opposite peaks, thereby maximizing the energy levels of the beams.

Claims 3 and 11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Valentian et al. in view of Kaufman, Patent No. 5,763,989.

As per claim 3, Valentian discloses the claimed invention substantially as explained above. Valentian does not disclose the acceleration channels having a non-annular configuration. Kaufman discloses a thruster having acceleration channels having an annular configuration although more complicated configurations can also be used (see Col.1, lines 56-58) which inherently includes a non-annular configuration. Therefore, it would have been obvious to a person having ordinary skill in the art at the time the invention was made to utilize non-annular configuration channels as taught by

Kaufman into the Valentian type thruster. This can be done by replacing the Valentian's annular configuration channels with Kaufman's non- annular configuration channels, because it would allow in all cases acceleration channels in the shape of a closed path to achieve a closed-drift thruster.

As per claim 11, Valentian discloses the claimed invention substantially as explained above. Valentian does not explicitly disclose the at least one cathode for neutralizing current. However, this feature is well-known in the art as evidenced by Kaufman which discloses in Fig.4 a thruster having at least one cathode (42, 62) for neutralizing current (see Col.7, lines 55-57). ). It would have been obvious to a person having ordinary skill in the art at the time the invention was made to employ the cathode for neutralizing current as taught by Kaufman into the Valentian type thruster, because it would allow a current neutralization of energetic ion beam formed from ions that do not recombine with electrons on surfaces of anode and magnetic poles accelerated outward by electric field.

Claim 9 is rejected under 35 U.S.C. 103(a) as being unpatentable over Valentian et al. in view of Petrosov et al., Patent No. 5,845,880, cited by Applicant.

Vatentian discloses the claimed invention substantially as explained above.

Further, Valentian discloses said gas distribution [channel] anode 125A in a first one
(124A) of said acceleration channels 124A, 124B introducing a first propellant (ionizabe gas) via pipe 126A and a gas distribution [channel] anode 125B in a second one (124B) of said acceleration channels 124A, 124B introducing a second propellant (ionizabe

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gas) via pipe 126B (not shown). Although Valentian discloses the ionizable gas being Xenon (see Col.10, line 37), Valentian does not disclose the second propellant being different from the first propellant . Petrosov discloses in Fig.3 a thruster having a pipe 25 delivering a propellant such as Xenon, Krypton or Argon gas into an anode 24 from which it is delivered to a channel 20. Note that Xenon, Krypton and Argon gases are different from each other. Therefore, it would have obvious to a person having ordinary skill in the art at the time the invention was made to employ different propellant as taught by Petrosov into the Valentian type thruster. This can be done for employing, in this case, propellant Xenon gas in the first gas distribution anode and propellant Krypton gas in the second gas distribution anode, since it has been held to be within the general skill of a worker in the art to select a known material on the basis of its suitability for the intended use.

#### Allowable Subject Matter

Claims 4-7, 10 and 12 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Claims 15-19 are allowed.

The following is a statement of reasons for the indication of allowable subject matter: The prior art fails to disclose "said plurality of flux guides includes at least one intermediate flux guide situated between two adjacent acceleration channels" (claims 4 and 12); "different discharge voltage to each channel" (claim 10); "a first channel surrounding a second channel" (claim 15).

#### Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

King, Patent No. 6,215,124; Latischev et al., Patent No. 6,158,209; Kaufman et al., Patent No. 4,862,032.

#### Correspondence

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Haissa Philogene whose telephone number is (571) 272-1827. The examiner can normally be reached on 6:30 A.M.-6:00 P.M..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Minsun Harvey can be reached on (571) 272-1835. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

hp

Haissa Philogene Primary Examing: March J. 2800 W. p.